

Exh 5

D4.1 Kick-off event – information about certification of forest service enterprises

Public output – made public on www.cefcoproject.org

This information is part of the CeFCo project, with the purpose to develop a model for certification of forest contractors in Denmark, Spain, Sweden, Bulgaria and Portugal respectively. The project will be carried out in a co-operation between NEPCon, The European Network of Forest Entrepreneurs (ENFE) and the Forest Stewardship Council (FSC) and national organisations. The project is supported by the Danish Forest and Nature Agency, Fundación Biodiversidad and the European Union CIP Eco-Innovation initiative (http://ec.europa.eu/environment/eco-innovation/index_en.htm) which is part of the Competitiveness and Innovation Framework Programme, CIP (<http://ec.europa.eu/cip/>).





Certification of Forestry Contractors

Seminar to be held on 13 October 2009

9-15:00 hrs

in Copenhagen

on

Certification of Forestry Contractors

Invitation

We are pleased to invite you to the first **CeFCo Seminar** that will be held **on October 13 in Copenhagen**. This is the official Kick-Off event of the project **CeFCo**. The seminar will deal with the demand for and the possibilities of certification of forestry contractors. The goal is to present and discuss options to improve the access of forestry contractors to forest management certification, with a special focus on Europe. Such options, including appropriate tools that will be developed in the framework of the **CeFCo** project will be a great help for small forest holdings to minimize the efforts to implement certification.

Venue

AXELBORG, Landbrug & Fødevarer
Axeltorv 3, 1. sal
1609 København V

Participants

The Seminar will be attended by Forestry Contractors Associations, forest owners' organisations and representatives, actors and decision makers in forest management certification. Please refer to the end of this document for a full list of all participants.

CeFCo is co-funded by the European Commission in the programme eco-innovation, which is managed by the European Agency for Competitiveness and Innovation. Project No. ECO/08/238998/SI2.532252



eco-innovation
WHEN BUSINESS MEETS THE ENVIRONMENT



age 1 of 4

executive agency
eaci
EUROPEAN COMMISSION
for competitiveness & innovation

AGENDA

Tuesday 13 October

Time	duration	Agenda topic	Name	Annotations
09.00	10	Welcome	Barrie Hudson	
09.10	15	Introduction to the Seminar (presentation 1)	Jan Feil NEPCon project manager	About the Objectives of the seminar
09.25	15	Objectives and the planned work of the CeFCo project (Presentation 1)	Jan Feil NEPCon project manager	The project co-ordinator will present CeFCo , project objectives and work-plan
09:40	20	Demand for involving Forestry Contractors in Forest Management Certification (Presentation 2)	Peter Feilberg NEPCon Director	Concepts and Experience in Pilot Projects – experience from the Baltic countries (Estonia)
10:00	30	From national certificates to a European standard: Core criteria for contractor certificates (Presentation 3)	Edgar Kastenholz.	Results of the CeFCo Workshop held in Brussels on 29 th of September
10:30	15	Forest Owners expectations for contractor certification (Presentation 4)	Theo Kern Representative from forest owners	Certification of contractors - Technical implications and cost reduction
10:45	15	Coffee		
11.00	15	Introduction to discussion	n.n. moderator	
11.15	105	Implementing the project in the four countries of priority and other European countries – general considerations and recommendations		Working in sub – groups and report on conclusions Session focused on Sweden, Bulgaria, Denmark and Portugal – Actions and involvement in the project
13.00	60	Lunch		
14.00	45	Open discussion	n.n. moderator	In plenum, recommendations and ideas for implementing the project and presentations of the four countries of priority
14.45	15	Summary and Conclusions	Peter Feilberg	
15.00 – 16.00		Optional discussion and more detailed planning of project implementation in the four countries	Jan Feil	Working in sub – groups and report on conclusions – second part

Participants

Name	Organization	Email
Project management		
Jan Feil	NEPCon	jpf@nepcon.net
Edgar Kastenholz	ENFE	edgar.kastenholz@enfe.net
Shoana Humphries	FSC Int	s.humphries@fsc.org
Stakeholders		
Margus Kuusk	StoraEnso, Estonia	margus.kuusk@storaenso.com
Klara Helstad	SODRA Skog - Sweden	Klara.Helstad@sodra.com
Margareta Renstrom	WWF International	Margareta.Renstrom@wwf.se
Lena Dahl	Tetrapak Int	Lena.Dahl@tetrapak.com
Flemming Sehested	Danish Forest Owners Association	fls@df-extension.dk
Jaroslav Tymrak	PEFC	Jaroslav.Tymrak@pefc.org
Henrich Kirchner	SGS	henrich.kirchner@sgs.com
Bertn Soderberg	EC Skog Sweden	info@eckskog.se
Theo Kern	Argovian Forest Owners Association	theo.kern@awv.ch
Kristina Dubracnova	Ai Arborists	kristynad@gmail.com
Alexandra Mannaert	Inverde Belgium	info@inverde.be
William Robb	Ai Arborists	billy@billyrobb.freeseerve.co.uk
FSC		
Vera Santos	FSC Portugal	verafsantos@netcabo.dp
Charles Thwaites	FSC UK	charles@fsc-uk.org
Zhivko Bogdanov	FSC Bulgaria	zhbogdanov@wwfdcp.bg
ENFE		
Barrie Hudson	ENFE Chairman (Past Chairman)	barrie@hudsonconsulting.ltd.uk
Simo Jaakkola	Koneyrittäjien liitto, FIN Chairman	simo.jaakkola@koneyrittajat.fi
Kees Boon	AVIH, Netherlands	info@avih.nl
Francois Pasquier	EDT, France	sund.pasquier@wanadoo.fr
Robert Knysak	SPL, Poland	cenpol@good4forest.eu
Ulf Sandström	SMF, Sweden	ulf@smf-skog.se
Jaona Faria	ANEFA, Portugal	joanafaria.aneфа@gmail.com
Michael Sachse	DFUV, Germany	Michael.Sachse@forestris.de
Ion Toader	ASFOR, Rumania	asfor@rdslink.ro
Antony Stefanov	Bulprofor, Bulgaria	info@proles.bg
Bjarne Kallehaug	DSF, Denmark	kallehaugemail@vip.cybercity.dk
Dr. Ewa Liden	Organisationsberatung	ewa.liden@t-online.de
Filipe Roque	ANEFA, Portugal	joanafaria.aneфа@gmail.com
Klaus Schatt	LGA intercert	klaus.schatt@intercert.lga.de
Torsten Kinnefors	SMF, Sweden	torsten.kinnefors@smf-skog.se
Mats Ågren	SMF, Sweden	torsten.kinnefors@smf-skog.se
NEPCon		
Leticia Calvo	NEPCon, Spain	lcv@nepcon.net
Rui Simoes	NEPCon, Portugal	portugal@ra.org
Peter Feilberg	NEPCon, HQ	pf@nepcon.net

Maria Gismero	NEPCon, UK	mrg@nepcon.net
Per Lynge Jensen	NEPCon, DK	plj@nepcon.net
Kjell-Owe Ahlskog	NEPCon, FI	koa@nepcon.net
Roman Polyachenko	NEPCon, EE	rp@nepcon.net

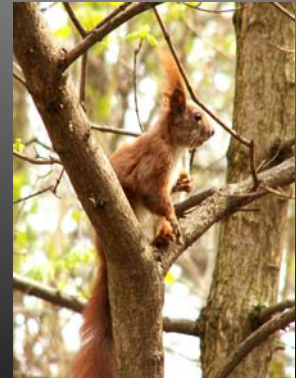
CeFCo
Certification of Forest Contractors
Copenhagen 13 October 2009
By ENFE, FSC and NEPCo

PRESENTATION OVERVIEW

Introduction

Overview CeFCo:

- 1) The project
- 2) Objective
- 3) Activities/Results



Introduction

Why have this meeting?

Kick-off for the project – ensure stakeholder participation

- 1) Present the basic idea regarding contractor certification
- 2) Receive feedback
- 3) Plan how specific work can be done

Introduction

Project background – activities and goals

Update from the Baltics

Results from workshop in Brussels

Forest owner expectations

Discussions in work groups

About the project

EACI
European Agency for Competitiveness and Innovation

60% of budget (823,502 EUR)

3 years duration

Goal: promote environmental innovation and replicate throughout EU

About the project

Partners

FSC www.fsc.org

FSC's mission:

"...shall promote environmentally appropriate, socially beneficial, and economically viable management of the world's forests."

About the project

Partners

ENFE www.enfe.net

ENFE's mission:

"enable and encourage information dissemination between members within the Network, and between the Network and opinion formers in Europe, to enable co-ordination of actions necessary for the economic development of SMEs in European Forestry"

About the project

Partners

NEPCon (lead) www.nepcon.net

NEPCon's mission:

"to promote sustainable forest and nature management and use, in cooperation with local stakeholders"

More than 100 FM and 700 CoC certificates – know all Europe and Russia

Cooperation with Rainforest Alliance (US) – represent RA as CB in Europe

Long record of development projects

Objectives

Simplified procedures for small private forest owners to delegate management tasks in order to achieve certification

Certification made easily available for forest service enterprises

Create a new market for "Green" services

Increase of competitiveness

Activities/Results

1) Analysis of certification standards and concept development

On country level

FSC, PEFC, SmartlogStandard, and maybe others as relevant

Activities/Results

1) Analysis of certification standards and concept development
Proposal of simplified FSC forest management standard. A number of recommendations for a simplification of the standard will be made

Two sub-standards for forest certification developed. The analysis will end up in two draft sub-standards, one for forest owners and the second for contractors

Concept for certification of forest service enterprises. Description of concept – general guidelines for all EU countries – group certification – a FSC International Policy on loggers certification

Activities/Results

2) Pilot test of certification – concept and tools will be tested in 4-7 European countries (Portugal, Denmark, Sweden, Bulgaria, and others)

Lessons learned will be important and shared

End up with a more simple approach towards certification

Activities/Results

2) Pilot test of certification – concept and tools will be tested
Pilot test of forest owners. Four tests for certification of small forest owner group – a pre-assessment and field audit
Pilot test of forest service enterprises. Selection of contractors – certification of contractors is tested according to concept and proposed revised standard for FM
General experiences recorded. Practical learning important – will be part of the toolkits to facilitate certification

Activities/Results

Awareness raising, training and promotion of certification of forest service enterprises
- www.cefcoproject.org – with tools available (and magazines etc.)
- EU wide training workshop
- national level trainings and workshops

Conclusion

Participatory approach – finding common ground
Building on gained experience
(Almost) nothing new – just tools

Afternoon: how can the project be implemented in the four countries?



Contractor certification
the way to address Forest certification of small properties?
Cases from Estonia and Denmark






Barriers exist for the certification of small private forest holdings:

- ❑ Certification requirements not adjusted to small holdings.
- ❑ No regular income from forestry.
- ❑ Extensive use but no control with logging companies and forest entrepreneurs
- ❑ High certification cost per hectare
- ❑ **No price premium for certified wood.**




©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
STAY UPDATED – SUBSCRIBE CERTIFIED WOOD UPDATE AT WWW.NEPCON.NET


Transfer requirements to the contractors!




©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
STAY UPDATED – SUBSCRIBE CERTIFIED WOOD UPDATE AT WWW.NEPCON.NET



Combine group certification and contractor certification

Group Forest Certification for Forest Owners

1. Certification procedures by FSC and PEFC established to include several FMUs under on certificate.
2. A group manager is responsible for the ensuring that certification requirements are observed by members.
3. Certification body conduct audit based on sampling of the FMUs according to specific guidelines.



SmartLogging Certification for Contractors

1. Certification program for logging operations established of Rainforest Alliance.
2. A performance-based logging certification
3. Give 3rd party recognition for logging operations following best management practice
4. Give forest owners an assurance that logging is conducted by a competent and fair company.
5. The SmartLogging program is **NOT** a part of the PEFC or FSC system – but supplement to forest management certification.

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
STAY UPDATED – SUBSCRIBE CERTIFIED WOOD UPDATE AT WWW.NEPCON.NET



2 Certificates issued



- *Forest owner* is covered by a group certificate hold by owner association, logging company or other body. Forest owner shall use certified contractors.
- *Forest contractors* holds a SmartLogging certificate which include all applicable requirement from local forest certification FSC and/or PEFC standard(s).

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
STAY UPDATED – SUBSCRIBE CERTIFIED WOOD UPDATE AT WWW.NEPCON.NET

NEPCon

SmartLogging Standards for Contractors includes



- Legal Requirements
- Harvest Planning and Monitoring
- Harvest Practices
- Community Values
- Occupational Health and Safety
- Business Viability
- Continuous Improvement and Innovation
- Silviculture and reforestation.

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
STAY UPDATED - SUBSCRIBE CERTIFIED WOOD UPDATE AT WWW.NEPCON.NET

NEPCon

Basis of combined standard

- FSC-STD-01-001 FSC Principles and Criteria for Forest Stewardship
- SW-STD-EST-2005-06 SmartWood interim standard for Estonia
- Estonian FSC standard (approved by Estonian Working Group on 25.04.2008)
- SmartLogging Generic Certification Standard, Version 6

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
MORE INFO - GO TO WWW.NEPCON.NET

NEPCon

Structure of standard

Ultra SLIMF standard	Owner	Logger
1. COMPLIANCE WITH LAWS AND FSC PRINCIPLES Forest management shall respect all applicable laws of the country in which they occur, and international treaties and agreements to which the country is a signatory, and comply with all FSC Principles and Criteria.	1	1
1.1. Forest management shall respect all national and local laws and administrative requirements.	1	1
1.1.1. The staff shall be aware of relevant requirements of legislation and their responsibilities.	0	1
1.1.2. Discovered non compliances with legislation shall be recorded in written.	0	1
1.1.3. Corrective actions shall be implemented in case non-compliance with legislation is identified.	1	1
Owner and Logging Company shall meet all national, state/provincial and local environmental, labor and forestry laws.	1	1

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
MORE INFO - GO TO WWW.NEPCON.NET

NEPCon




Division of responsibilities

- Following the law (P1) - both
- Tenure and use rights (P2) – owner
- Safety (P4) – logging company and sometimes owner
- Worker's rights(P4) – logging company
- Stakeholder communication (related to fellings) (P4) – logging company as a rule
- FM, logging and sales (P5) – logging company
- Organisation of fellings(P5 ja P6) – logging company
- Monitoring environmental impact(P6) – logging company
- Management plan (P7) - owner
- Monitoring (P8) - both
- HC VF(P9) - both
- CoC – both
- Group certification – group manager

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
MORE INFO - GO TO WWW.NEPCON.NET

NEPCon

Building the tools: adapted standards

- 1 Analysis of the certification standards and preparation of draft concept**
- 2 Public consultation and field test**
- 3 Development of final concept and proposed adusted standards**

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
MORE INFO - GO TO WWW.NEPCON.NET

NEPCon

Approach:

- ✓ Focus on field performance
- ✓ Keep it flexible (build on existing structures)





- ✓ Reducing paper work
- ✓ Requirements that make sense for small operations

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
MORE INFO - GO TO WWW.NEPCON.NET

Requirement	Owner	Logger
4.2.13. Appropriate health and safety equipment including helmet, high visibility vest/jacket, safety boots and safety trousers shall be used in the field and first aid kit shall be available onsite for chain saw operators, harvesting companies and contractors .	0	1
4.2.14 Any person entering an ongoing logging site shall wear a helmet and high visibility vest.	0	1
4.2.15. Workers involved in dangerous work such as storm damage removal and tree climbing shall never works alone.	0	1
4.2.16. Warning signs shall be posted at access roads to sites with ongoing logging operation.	0	1
machinery shall be equipped with fire extinguishers	0	1
4.2.18. Chain saws shall be kept in good condition and safety equipment shall not be defect	0	1
4.2.19. Logging Company company shall have a designated person or persons responsible for compliance with work safety regulation and using of health and safety equipment. All staff shall know this person.	0	1
Owner shall ensure that when the work is done by the forest owners themselves or on a voluntary basis, the same level of occupational safety as in other employment is maintained.	1	0

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
MORE INFO - GO TO WWW.NEPCON.NET

NEPCON

Is owner ready for that?

Long-term support to forest certification

Monitoring of regeneration and following the rules when owner makes fellings himself

Sustainable use of resources

Using only certified logging companies

Some limitations like biodiversity trees, mixed forest development, buffer zone etc.

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
MORE INFO - GO TO WWW.NEPCON.NET

NEPCON

Ongoing projects

Estonia

- Pilot project with StoraEnso, WWF, FSC International, NEPCON and private forest owners.
- Process completed September 2009



Denmark

- Project with Danish Forest Extension Service, Danish Forest Entrepreneurs, and NEPCON.
- Currently working on the standard




©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
STAY UPDATED - SUBSCRIBE CERTIFIED WOOD UPDATE AT WWW.NEPCON.NET

NEPCON

Thank you for your kind attention!

Questions?

©NEPCON - NATURE, ECOLOGY AND PEOPLE CONSULT
STAY UPDATED - SUBSCRIBE CERTIFIED WOOD UPDATE AT WWW.NEPCON.NET

ENFE   CeFCo

From National Certificates to an European Standard

RESULTS OF THE CeFCo WORKSHOP HELD IN BRUSSELS ON 29 SEPTEMBER 2009

Edgar Kastenholz
European Network of Forest Entrepreneurs (ENFE)

www.enfe.net


Edgar Kastenholz, CeFCo Seminar 13 October 2009 1

ENFE   CeFCo

Starting Point:

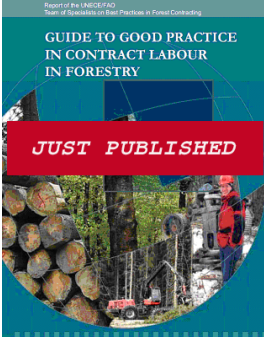
- A vision for „good contractors“
The Guide to Good Practice in Contract Labour in Forestry
- A concept for a European contractor certificate **EFECT**

Edgar Kastenholz, CeFCo Seminar 13 October 2009 2

ENFE   CeFCo

Starting Point:

- A vision for „good contractors“
The Guide to Good Practice in Contract Labour in Forestry



Edgar Kastenholz, CeFCo Seminar 13 October 2009 3



ENFE   CeFCo

The Vision:

Good Contractors

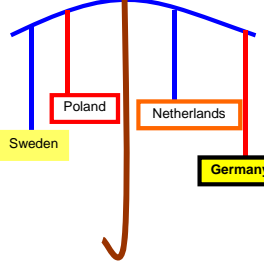
- are competent,
- offer high quality at competitive costs,
- are specialized in equipment, skills and work organisation,
- are capable of continuous development,
- offer attractive jobs and stable employment,
- offer safe and healthy workplaces.

Edgar Kastenholz, CeFCo Seminar 13 October 2009 4

ENFE   CeFCo

EFECT *the concept*

- will define the **core criteria** for good practice for forest entrepreneurs in Europe.
- will **accredit national certification schemes**, which meet the core standards *and* have a clear track record of audits
- will be **endorsed and controlled** by a mutual certifying body



Edgar Kastenholz, CeFCo Seminar 13 October 2009 5

ENFE   CeFCo

EFECT - Core criteria for an "EFECT enterprise"

Approved Core Criteria

- Compliance with all applicable national legal requirements and international agreements (e.g. ILO)
 - Registration according to national legal requirements,
 - Taxes, pension payments, wages,
 - written labour contracts
- Employment of qualified staff – (needs definition, criteria)
- Maintain skills of work force
- Adequate and safe equipment (has to fulfil applicable requirements)
- Have systems in place to ensure:
 - Work quality
 - Environmental standards
 - e.g. Fuel oil waste handling
 - „forest related issues“
 - Health & Safety
 - At the workplace
 - Prevention

Edgar Kastenholz, CeFCo Seminar 13 October 2009 6



EFFECT - Core criteria for an "EFFECT enterprise"

Criteria which need further discussion

- Provisions to respect local community interests

Extra obligations

- **Qualified business management**
This is more like a precondition to become certified rather than a criterion
- Forest management skills
- Tracking System

Edgar Kastenholz, CeFCo Seminar 13 October 2009 7




EFFECT can be a solid basis for the **CeFCo** contractor standard

We achieved:

- A mutual agreement on core criteria for certified contractors
- Agreement on how to move forward towards an International Standard
 - Work to be done: Synopsis of certification standards and national contractor certificates
 - Set up a working group (approx 6 people) to develop the EFFECT standard

Edgar Kastenholz, CeFCo Seminar 13 October 2009 8



Criteria for a contractor certificate - template for a synopsis

Criterion	FSC	PEFC	Smart-log	Generic indicator
Compliance with all legal requirements					
Employment of qualified staff					
Maintain skills of work force					
.....					
.....					

Edgar Kastenholz, CeFCo Seminar 13 October 2009 9

Aargauischer Waldwirtschaftsverband

Experience in Group certification – Expectation of contractor’s certification

© 2009 Argovian Forest Owner Association
Theo Kern 13.10.2009

Aargauischer Waldwirtschaftsverband

Certification in Switzerland

- First certificates: 1999
- First group certificate: 2000
- FSC certified: 670'000 ha (53%)
- Certificate holder: 18

© 2009 Argovian Forest Owner Association 13.10.2009

Aargauischer Waldwirtschaftsverband

Group AWV

- Certified Area: 50'000 ha
- 3 different States
- 1. Certification: 2000
- 2. Recertification: 2010
- 104 Certification units
– 386 single forest owner

© 2009 Argovian Forest Owner Association 13.10.2009

Aargauischer Waldwirtschaftsverband

Basic group structure

© 2009 Argovian Forest Owner Association 13.10.2009

Aargauischer Waldwirtschaftsverband

Organisation “Tree”

© 2009 Argovian Forest Owner Association 13.10.2009

Aargauischer Waldwirtschaftsverband

Model of group certification

© 2009 Argovian Forest Owner Association 13.10.2009

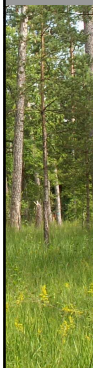


Aargauischer Waldwirtschaftsverband

Benefits of certification

- Management system
- Public Relation instrument
- Objective assessment from outside
- Profit from group management's know how
- Can give access to markets
- Can generate more income

© 2009 Argovian Forest Owner Association 13.10.2009



Aargauischer Waldwirtschaftsverband

Risks of certification

- Growing requirements
- Growing documentation
- Growing costs

➤ Ratio between Costs and Benefits is decreasing

© 2009 Argovian Forest Owner Association 13.10.2009

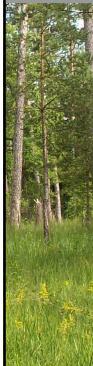


Aargauischer Waldwirtschaftsverband

Conclusion

- Small forest (SFO) owners are non professionals
- Small forest owners need help from professionals to fulfil P&C
- Small forest owner need contractors

© 2009 Argovian Forest Owner Association 13.10.2009

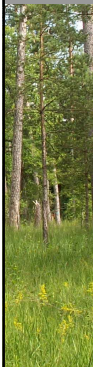


Aargauischer Waldwirtschaftsverband

How shall SFO check (1/2)

- If workers rights are observed
- If wages meet legal or industrial minimum
- Social planning
- If the measures maintain or enhance the ecosystem

© 2009 Argovian Forest Owner Association 13.10.2009

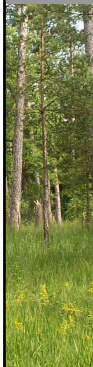


Aargauischer Waldwirtschaftsverband

How shall SFO check (2/2)

- If the negativ impacts are reduced
- If the workers get job specific training
- If measures are taken against soil destruction

© 2009 Argovian Forest Owner Association 13.10.2009



Aargauischer Waldwirtschaftsverband


Certification for contractors

- SFO is not able to check the points mentioned before
- A certification system for contractors is needed
- A certified contractor reduces the risk to loose the certificate
- A certified contractor shows that he knows the FSC®-Rules.

© 2009 Argovian Forest Owner Association 13.10.2009

Aargauischer Waldwirtschaftsverband

Any questions?



© 2009 Argovian Forest Owner Association 13.10.2009

The slide features a green header with the text 'Aargauischer Waldwirtschaftsverband' and a small icon of three people. On the left, there is a vertical photograph of a forest. The main content area is white with the text 'Any questions?' in green. Below the text is a cartoon yellow emoji with a thinking expression, hand on chin, and question marks above its head. At the bottom, there is a copyright notice '© 2009 Argovian Forest Owner Association' and the date '13.10.2009'.