

Exh 46 Management meeting, Lisbon, Portugal, 18-19 May 2011 (in English)

Output D1.1 Biannual meetings in steering committee – Public

This information is part of the CeFCo project, with the purpose to develop a model for certification of forest contractors in Denmark, Spain, Sweden, Bulgaria and Portugal respectively. The project will be carried out in a cooperation between NEPCon, The European Network of Forest Entrepreneurs (ENFE) and the Forest Stewardship Council (FSC) and national organisations. The project is supported by the Danish Forest and Nature Agency, Fundación Biodiversidad and the European Union CIP Eco-Innovation initiative (http://ec.europa.eu/environment/eco-innovation/index_en.htm) which is part of the Competitiveness and Innovation Framework Programme, CIP (<http://ec.europa.eu/cip/>).



CeFCo coordination meeting Portugal

Minutes meeting 18 May 2011 Lisbon

Present: Edgar Kastenholtz (EK), Shoana Humphries (SH), Joanna Faria (JF), Vera Santos (VS), Leticia Calves Vialettes (LCV), Rui Simoes (RS), Jan P. Feil (JPF).

- 1) FSC STD accreditation Portugal FM
- 2) Contractors -companies testing
- 3) Simplifying audits; part of 1) and 2)
- 4) Planning meeting with contractor-forest owner

1) FSC STD accreditation Portugal FM

SH has talked with FSC international (Richard Robertson) and explained that for the moment the Portuguese FSC FM STD is not approved.

VS explains the process of standard development: During August 2010 the standard was sent to FSC for approval. Last version with comments incorporated from Richard Robertson (sep), sent again to FSC in December (with informal comments from Richard: % on indicators, missing SLIMF indicators). For the time being FSC Portugal can not complete the STD because they need inputs (results from the workshop, define HCF in Portugal) from HCV meeting about HCVF n Portugal (waiting since March).

Public consultation and field visits have been made. Working group has approved the STD draft submitted.

Still waiting from an answer (from FSC International), SH will work to ensure a fast reply.

The CeFCo checklist is a separate task compared to the approval of the national FM STD of Portugal. Therefore, the CeFCo project and its work should not be included in the work related to approval of the new STD for Portugal.

It was agreed that NEPCon needs to verify (before using the STD during audits in Portugal) if there are gaps between the generic SW FM STD and the draft FM STD for Portugal. The purpose is to ensure that all requirements from the approved generic STD are included in the draft national STD. This procedure ensures that the draft

During the meeting the Portuguese STD FM was reviewed to use it on the field test and as STD within CeFCo. VS showed the revise version (26 January 2010) with Richards comments (by notes). (this version has not send to public consultation, and there is no need to send it before it is approved by FSC Int Center).

Vera is going to send reports to JPF and SH regarding the Portuguese STD and STDs to be used in CEFCo.

During the meeting we talked about the FM STD and the proposed simplifications of CeFCo STDs (optional). How to manage SLIMF indicators? If SLIMF does not apply for CEFCo? POSSIBLE SOLUTION: Maintain all indicators and include verifying tools (applicability notes).

Delete all "not applicable to CEFCo" on the indicators and include applicability notes/verifying tools.

Verifying tools means that it is explained how the indicator is verified if special conditions are found as part of CeFCo auditing.

If indicators of SLIMF are used, this would require that they send to the national group for approval. During the audit, maybe the CB can give a recommendation or minor CAR in cases of indicators are "inappropriate" or difficult to be applied. - Recommended to include one column with examples or what is requested from the STD, to be more easy to understand.

VS explain the importance to communicate early in other countries (ex. Bulgaria) the format and development of the STD to be clear on the beginning (it was confusing on the Portuguese side)

JPF explained about doing a pre-assessment (landowners and contractors in Denmark) is the best way to begin the test phase of the project (to test the STD and tasks to be done by contractors as well as to test if the company is prepared to be certified). For the Assessment (first audit), CeFCo can cover 50% of the cost (20-80%) to support contractors engaging into the certification process.

Proposal SH: to clarify what indicators have been added in the national STD, or were changed compared the FM FSC Portugal STD. Include comments or colors, or other way to be transparent and kept it on the document.

Include FSC original column, CeFCo version, comments (clarifications-ways of verification) (to clarify and show how was the differences)..Send to working group and FSC International. This work was initiated in the afternoon.

2) Contractors- Companies testing

EK talked about ENFE, contractors must demonstrate a good level of good practices and have ONLY one certificate that can document the good practices (difficult to have separate ones for FSC or PEFC..). ENFE would like to develop a certificate which considers GOOD PRACTICES. Define contractors: consulting companies, loggers, planting companies...

PP 1: 2

PP 2: landowners

PP4: contractors

PP5: landowner and little contractors

PP6: landowner and little contractors

PP7: landowner and little contractors (planning)

The checklist to be used by contractors needs to be adjusted because it includes on the level of criteria, the reference of PEFC and ENFE. It is needed to include more specific reference to PEFC and FSC requirements at indicator level whenever specific requirements are made by one of the standards...maybe use the format from Spain.

Important comment: Develop guidelines for contractors, explanations of indicators can be met, what compliance is demonstrated. JPF presented the checklist for contractors in Denmark with notes, info of verification in the field about what we are going to ask during the audit. This is an example of the training material we need.

Important to define the scope of the smartlogger certificate (how we are going to audit a contractor, for example, if a pesticide is legal in the country, but FSC does not allow it? How we are going to address such situations within the smartlogger certificate? Or if the logger company is following the management plan that does not follow the FSC principles? How we are going to verify the Smartlogger STD?

The answer is that the contractor can only work in FSC forest following the requirements of FSC certified forest and likewise for PEFC certified forests. At the same time he needs to always follow the general requirements of the Smartlogger standard which are independent of the forest management. If he works for a non-certified forest owner, he only needs to apply to the general rules of Smartlogger certificate and can in this condition make use of pesticides not allowed by for example FSC.

CONCLUSIONS PHASE 1:

-FSC Portuguese FM STD; send to Richard Robertson to be approved (with the recommendation to approve it, however, adjusting the STD as recommended in 1))

-Revise CeFCo checklist (clarify the sources of information and changes made, list landowner and contractors)

-Verification notes for NEPCon

Gap analysis need to be done between FSC Portuguese STD (not yet approved) and the FM interim STD for Portugal, so that a FSC standard is ready for auditing.

HOW TO IMPLEMENT THE PROJECT? DIFFERENT SCENARIOS:

SCENARIO 1: approved FSC FM STD, this version is used for auditing

SCENARIO 2: no approved national FSC FM STD, need to use the FSC PORTUGUESE FM STD on the field test and before analyze the gaps between both STDs (SMARTWOOD and FSC PORTUGUESE FM STD). IF there are some differences on indicators, included this on the report.

Summary 19th May 2011 Lisbon

Management meeting CeFCo 10.00-14.00

Present: Rui Simões (RS), Leticia Calvo Vialettes (LCV), Shoana Humphries (SH), Edgar Kastenholtz (EK), Jan Feil (JF)

Issues:

- 1) Contractor situation In Portugal
- 2) Presentations and meeting FSC GA
- 3) Employment of CeFCo staff in FSC Int center

Financial matters were discussed. Overspending important, 40% from Fundacion Biodiversidad qualifies for corresponding 60% from EACI. SH will talk to their financial staff.

- 4) Sweden
- 5) Next steps towards certification in Portugal

- 1) Contractor situation In Portugal

Contractors look forward to certification (including quality management and forest management). Costs is an issue, contractors are price sensitive. The standard is complete (minor changes may be needed), and ready for testing. EK is asking what it will be in the future for certification, he senses some reluctance among contractors to fully embark on the project and that the project may not be able to issue but a handful of certificates within the project period. Group certification could be an important tool to keep the management and cost simple for contractors. How can we facilitate this? ANEFA can decide if they want to manage a group certificate for their members. RS can invite Joanna to an FM group audit to give ANEFA a better background to decide if this option is feasible. For now, managing a group Smartlogger certificate appears to be an option for ANEFA, but developing a new independent certification scheme for contractors is not feasible at this time.

The issue of ownership to standards was discussed. EK suggested that referring to the compiled standards (which include national FSC forest management and Smartlogger standards with references to PEFC standards) under a different name to suggest ANEFA ownership of the standards might help contractors feel a sense of ownership over the certification process. However, SH expressed concern that this negates the work that each organization has put into developing the standards". SH suggested that ANEFA announce that they endorse the compiled standards, and that this would be a strong message to contractors; JF supported this suggestion and was open towards the option that the compiled standards can be called "The Portuguese contractor certificate, or anything else which ANEFA finds supportive to catch the interest of contractors in the country . The content of compiled standards for Portugal remains FSC, PEFC (if required), and Smartlogger to allow

independent auditing and issuing of a Smartlogger certificate and perhaps, in the future, an FSC certificate if and when the FSC decides to approve FSC certificates for contractors.

Influencing Portucel and ALTRI appears important to create market demand. RS will ask for meetings with them if they would like to have more information or have questions related to certification.

We need to involve forest owners in Portugal in order to test the certification which are not certified yet. RS and LCV will give this priority as far as possible to have tests in this area as well.

2) Presentations and meeting FSC GA

Time was used to make final editing of the presentations according to the strategy agreed upon the day before.

3) Employment of CeFCo staff in FSC Int center

Financial matters were discussed the calculations behind securing co-funding. An example is a contribution of more than 100,000 EUR to FSC, which make out the 40% of the finance the project partner need to find. This means the total budget which can be support by 60% from the EACI is 250.000 EUR plus 7% overhead. This calculation is important for the financial monitoring within the FSC, and the options to employ project staff for the remaining project period. SH will talk to FSC financial staff and reach a conclusion regarding FSC spendings.

Overspending is important in case some costs may be found ineligible (ex lack of boarding passes as part of flight documentation).

4) Situation in Sweden

EK and JF have clarified options for making the work of ENFE member in Sweden (SMF) available and published as part of CeFCo. EK will ensure that all outputs relevant for CeFCo are made available from SMF in order to report the work of ENFE in Sweden as part of the next Progress Report 2 (Reporting deadline end of June 2011). JF will facilitate the process of adding FSC requirements to the analysis made for Sweden, and how FSC requirements can be added to the present PEFC certificates issued.

5) Next steps towards certification in Portugal

After initial discussion and clarification, RS and LCV elaborated a roadmap of actions to be taken to reach certification of contractors and landowners in Portugal (separate document).

Vera will send on Monday the final version of the FM standard, soon after the CeFCo versions (checklists) will be available.

CeFCo Sverige

Meeting minutes 18 January 2011

Time; 10.00 – 15.00

Place; Sky City, Arlanda, Sweden

Participants;

Martin Lindgren, Svea Skog

Niklas Arvidsson, GreenGold

Mats Ågren, SMF

Kjell-Owe Ahlskog, NEPCon FI/SE

Lina Bergström, FSC SE

Håkan Berglund, FSC SE

Jesper Ghialdhy, Bureau Veritas

Gustav Aulén, Södra Skog

Edgar Kastenholz, ENFE (CeFCo Steering Group)

Jan Peter Feil, NEPCon DK (CeFCo Steering Group)

Shoana Humphries, FSC Intl (CeFCo Steering Group)

Richard Robertson, FSC Intl

Purpose

The CeFCo Steering Group was invited to this meeting in order to give the CeFCo Sverige working group a possibility to describe the current situation within forest contractor certification in Sweden together with the background and rationale behind the working group's project proposal.

Agenda

See appendix A

Discussions

- A lot of time was initially spent on describing the current situation in Sweden and the thoughts and reasoning behind the current proposal. .
- FSC SE stated that they welcome the CeFCo project if it makes life easier for small forest owners and contractors. Do not want a project that makes the situation more complex. FSC SE does not have resources to take an active role in the project since they have a lot of other projects with higher priorities that take a lot of resources.
- FSC Intl is concerned that the proposed set up is based on a too open standard but were not able to clarify how they would like the requirements to be defined.
- The working group asked for more information about what the generic contractor certification requirements that are currently under development by FSC Intl will include. FSC Intl were not ready to share any requirements because only an internal discussion paper exists but thought instead that the CeFCo Sverige working group should present a more clear framework for what the SE contractor certification system would look like.

- FSC Intl suggested that a pilot certification of one or several contractors should be conducted in Sweden in order to get the project started. It was however not clear to the members of the meeting what standard such a pilot test should be conducted against and what kind of certificate/statement such a certification would result in. The members of the SE working did not believe that the approach used in DK was feasible in Sweden.
- FSC Intl also suggested that an analysis/comparison of relevant requirements in the Swedish FSC and PEFC FM standards should be conducted.

Conclusions/decisions

- The meeting did not result in any concrete decisions how to continue the CeFCo project in Sweden.
- The conclusion was that the Swedish working group would meet again after the meeting and discuss different alternatives for developing the CeFCo project further.

CeFCo Sverige Working group meeting 24 January 2011

- The working group held a phone meeting on the 24th of January where the group concluded that they were not pleased with the input and guidance provided by the CeFCo Steering Group and especially the mixed messages given by FSC intl*.
- Several of the members thought that the project had very small chances to become successful in Sweden due to the vague instructions from the steering group and the low interest from stakeholders. One option that was discussed was to discontinue the project but after some discussions the group agreed to carry out an analysis and comparison of indicators relevant for contractors in the two concerned FM standards.
- The conclusion was to identify a consultant that can do the analysis but to wait with the analysis until the new PEFC standard is endorsed. All group members agreed to support the analysis by sharing relevant material that they had developed within their own certification systems.

Notes taken by

Kjell-Owe Ahlskog
NEPCon Finland/Sweden

*(Note to minutes: FSC intl would like to make clear that FSC standards should be included in CEFCO activities in Sweden). In addition, it will update the Swedish working group on future decisions regarding the development of an FSC certificate for contractors.

CeFCo Sverige

Meeting agenda 18 January 2011

Time; 10.00 – 15.00

Place; Sky City, Arlanda, Sweden

Participants;

Martin Lindgren

Niklas Arvidsson

Mats Ågren

Kjell-Owe Ahlskog

Lina Bergström

Jesper Ghialdhy

Sture Persson

Shoana Humphries

Edgar Kastenholz

Jan Peter Feil

Agenda;

- | | | |
|-------|--|---|
| 10.00 | Welcome and presentation of participants | |
| 10.15 | Background and goals with the CeFCo project | J.P Feil |
| 10.35 | Current condition within FSC certification in Sweden, expected pros and cons with contractor certification and stakeholders interest | L. Bergström |
| 11.00 | Short presentation of existing contractor certification scheme in SE (requirements, implementation and monitoring) | N. Arvidsson |
| 11.30 | The Working group's suggestion for CeFCo project set up in Sweden | K-O Ahlskog |
| 12.00 | Lunch | |
| 12.45 | Feedback on the Working group's proposal | S. Humphries,
E. Kastenholz,
J.P Feil |
| 13.30 | Discussion and agreement on how to proceed with the project in Sweden | All |
| 14.45 | Conclusions and closing of meeting | |

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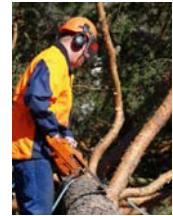
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CeFCo Certification of Forest Contractors

Stockholm 18 JAN 11

By ENFE, FSC and NEPCon



Introduction

Content

- 1) Present the idea
- 2) Status Denmark, Spain and Portugal



About the project

Funding

Danish Forest and Nature Service; EACI
European Agency for Competitiveness and Innovation)
Fundacion Biodiversidad, Spain

3.5 years total duration, start January 2009

Objective

Simplified procedures for small private forest owners to delegate management tasks in order to achieve certification

About the project

Why certification of contractors?

1. Growing demand for certified wood, certification becomes more and more important - competitive advantage in tendering
2. Option to evaluate the business, better performance and administration can be the result
3. Recognition as good service provider by independent party

About the project

Partners

FSC www.fsc.org

ENFE www.enfe.net

NEPCon (lead) www.nepcon.net



Progress/experience

1) Analysis of certification standards and concept development

On country level

FSC, PEFC, SmartloggerStandard – and maybe others as relevant



Progress/experience



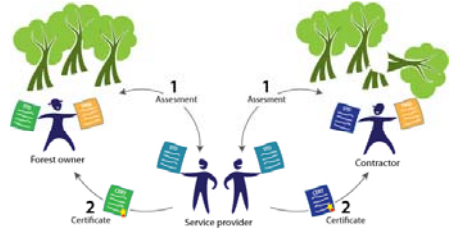
Progress/experience

- DK: analysis done, confirmed stakeholder consultation (FSC, PEFC, Smartlogger, DK forestry guidelines) – Part of quality ensurance system!
- ES: done, only Smartlogger and FSC (SLIMF + non SLIMF)
- PT: done, on its way for consultation (PEFC+FSC+Smartlogger+EFFECT)
- BG: concept not clear yet

Progress/experience

2) Pilot test of certification – concept and tools will be tested in 4–7 European countries (Portugal, Denmark, Sweden, Bulgaria, and others)
Lessons learned will be important and shared
End up with a more simple approach towards certification

Progress/experience



Progress/experience

DK: one forest group owner added FSC certificate + pre-assessment of 3 contractors
ES: 3 test certifications made ultimo 2010 – forest owner + contractor



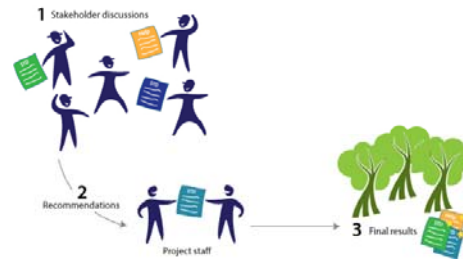
Progress/experience

Awareness raising, training and promotion of certification of forest service enterprises

- www.cefcoproject.org – with tools available major update on its way

- European workshop planned for October 2011

Progress/experience



Conclusion

1. Nothing new – just tools!
2. It works in DK: >100 pages become 5!
3. Contractors may need CeFCo as part of quality management system
4. It takes time!



Questions or comments?



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